

Social and Human Rights Impacts

To be completed for all land-based greenfield projects, hydro power, renewable energy, or other power projects. This questionnaire does not apply to mobile units.

1. General

Will the project require the construction or development of any associated facilities, or facilities related to the operation of the plant and distribution of energy, such as, access roads, transmission lines, etc.?

Yes No To be assessed Please provide further information:

Will the project, or any of its associated facilities cause, require or bring about any of the following? (See: Annex 1)¹

- | | |
|--|--|
| 1. Resettlement (physical or economic) of the local population | <input type="checkbox"/> Yes <input type="checkbox"/> No <input type="checkbox"/> To be assessed |
| 2. Acquisition of land, or expropriation | <input type="checkbox"/> Yes <input type="checkbox"/> No <input type="checkbox"/> To be assessed |
| 3. Damage to livelihood or way of life: ex: agricultural, fishing, hunting, etc. | <input type="checkbox"/> Yes <input type="checkbox"/> No <input type="checkbox"/> To be assessed |
| 4. Impact on minority or vulnerable groups, (ex. indigenous, tribal, women) | <input type="checkbox"/> Yes <input type="checkbox"/> No <input type="checkbox"/> To be assessed |
| 5. Work conducted by migrant workers | <input type="checkbox"/> Yes <input type="checkbox"/> No <input type="checkbox"/> To be assessed |
| 6. Child labour, or employment of persons under the age of 18 (See: Annex 1) | <input type="checkbox"/> Yes <input type="checkbox"/> No <input type="checkbox"/> To be assessed |
| 7. Bonded or forced labour (See: Annex 1) | <input type="checkbox"/> Yes <input type="checkbox"/> No <input type="checkbox"/> To be assessed |
| 8. Large-scale influx of workers | <input type="checkbox"/> Yes <input type="checkbox"/> No <input type="checkbox"/> To be assessed |
| 9. Impacts on sites of cultural, historic or scientific interest | <input type="checkbox"/> Yes <input type="checkbox"/> No <input type="checkbox"/> To be assessed |
| 10. Use of armed personnel (private security firms or state security/military) | <input type="checkbox"/> Yes <input type="checkbox"/> No <input type="checkbox"/> To be assessed |

Please provide details of any of the above points that apply (attach additional description if needed):

Will the project have any beneficial impact on the local population? Please describe:

If you have checked “Yes” to any of questions 1-4 above, please fill out sections 1(a) and 1(b) below. Please do not leave any questions blank.

¹ ANNEX 1: Guidance Note. Please note that projects involving any of the issues mentioned below may be categorised as having Medium to High potential negative impacts and therefore GIEK may require additional information in order to complete its impact analysis.

1(a). Due Diligence and Identifying Impacts

Which steps have been taken in identifying affected populations and impacts:

1. Collection of baseline data on impacted people Yes No To be assessed
2. Mapping to identify features such as population settlements, agricultural lands, etc. Yes No To be assessed
3. Census of affected people (with or without land title) registered according to location Yes No To be assessed
4. Socioeconomic surveys of affected peoples (including migrant workers & vulnerable groups) Yes No To be assessed
5. Inventory of lost or affected assets: household, enterprise, community level Yes No To be assessed
6. Analysis of surveys and studies to determine compensation parameters Yes No To be assessed
7. SIA, ESIA, RAP², or other, specify:

If you have responded yes above, please attach the study/data and provide the following information:

Name of Study: Date of Completion: Author:

What is the total estimated number of people that will be expropriated or resettled (physically and/or economically) as a result of the project and/or its associated facilities:

Known, specify: To be assessed

Will impacts of the project result in a material change in the local populations 'livelihood'?

Yes No To be assessed

Please describe (attach additional description if needed):

Are the affected people part of a vulnerable group, such as indigenous, tribal, ethnic minorities, etc.

Yes No To be assessed

Have efforts been made to determine the gender impacts of the project?

Yes No To be assessed

Has, or will a Resettlement Action Plan (RAP) be conducted?

Yes No Planned To be assessed

Please provide any additional information, clarification which may assist in the evaluation:

1(b). Consultation:

Has the affected population been consulted about project impacts, or involved in resettlement planning?

Yes No Planned

If yes, please describe the nature of the consultation and the extend of their involvement; i.e have they been informed, actively consulted, consented to resettlement, etc. (attach additional description if needed):

If no, are there plans to consult the local population? Describe how, if applicable:

² Social Impact Assessment (SIA); Environmental and Social Impact Assessment (ESIA); Resettlement Action Plan (RAP).

2. Labour, Working Conditions and EHS during project execution phase

Please do not leave any questions blank.

Please provide a brief description of the make-up/demographics and size of the workforce:

Construction Phase:

Operational Phase:

Which companies will be responsible for construction works, or employment of construction labourers:

1. Name of company: Land of origin: Number of workers:

2. Name of company: Land of origin: Number of workers:

With regard to labour & working conditions, which standards or certifications are followed:

	Exporter	Project owner/developer
SA8000	<input type="checkbox"/> Yes <input type="checkbox"/> No <input type="checkbox"/> To be assessed	<input type="checkbox"/> Yes <input type="checkbox"/> No <input type="checkbox"/> To be assessed
IFC Performance Standard 2	<input type="checkbox"/> Yes <input type="checkbox"/> No <input type="checkbox"/> To be assessed	<input type="checkbox"/> Yes <input type="checkbox"/> No <input type="checkbox"/> To be assessed
ILO Core Labour Standards	<input type="checkbox"/> Yes <input type="checkbox"/> No <input type="checkbox"/> To be assessed	<input type="checkbox"/> Yes <input type="checkbox"/> No <input type="checkbox"/> To be assessed
Other, please specify:	<input type="text"/>	<input type="text"/>

With regard to Occupational health and safety, which standards/certifications are followed:

	Exporter	Project owner/developer
OSHAS 18000	<input type="checkbox"/> Yes <input type="checkbox"/> No <input type="checkbox"/> To be assessed	<input type="checkbox"/> Yes <input type="checkbox"/> No <input type="checkbox"/> To be assessed
IFC EHS Guidelines	<input type="checkbox"/> Yes <input type="checkbox"/> No <input type="checkbox"/> To be assessed	<input type="checkbox"/> Yes <input type="checkbox"/> No <input type="checkbox"/> To be assessed
Other, please specify:	<input type="text"/>	<input type="text"/>

Does the project owner/developer, and/or company responsible for construction works for the project have a Human Resources Policy or Occupational Health and Safety Policy?

Yes No To be assessed (If yes, please attach the policy/policies)

Please provide any other comments/or information related to social impacts of the project that are not included in the form:

NAME:

DATE:

CONTACT INFO:

Will the project, or any of its associated facilities cause, require, or bring about any of the following?

Please provide sufficient information for GIEK to determine whether or not the impacts that you are aware of, after enquiry appropriate to your role in the project, are significant. This should include information about potential impacts outside the immediate project area, for example, downstream. It is understood that "project" includes facilities related to the operation of the power plant, such as access roads, transmission lines, etc. NB: GIEK benchmarks all projects against the IFC Performance Standards, applying the standards appropriately in consideration of the scale and impact of the project. The following questions may assist in determining the appropriate answer (yes/no) and the type and detail of information required.

1. Resettlement (physical or economic) of the local population:

Are people being moved from or excluded from the site of the project, particularly on an involuntary basis? How many are involved? How long have they lived or worked on the site? What is the source of this data and how reliable is it? The IFC has also produced a handbook to assist in the preparation of Resettlement Action Plans; see:

www.ifc.org/enviro/Publications/ResettlementHandbook/resettlementhandbook.htm

NB: Land acquisition affecting economic livelihoods is also sometimes referred to as 'economic resettlement.

2. Acquisition of land or Expropriation:

Is land being acquired by, or on behalf of the project company through compulsory purchase systems? How and by whom are the assets and resource base being valued? Are current inhabitants/users being offered alternative land, or cash, or both? Are equivalent or better services and infrastructure being provided?

3. Impacts on livelihood or way of life:

Will any affected persons have their economic way of life impacted as a result of the project? ex. will farmers be required to sell agricultural Lands or be unable to continue agricultural activities, animal herders limited access to grazing lands or fishermen access to fishing areas, etc.? Are alternative jobs (with appropriate training) being offered? Please provide details of any potential loss of livelihood in section "1(a)" of this application.

4. Impact on minority or vulnerable communities:

Are any of the above impacts likely to have a disproportionate effect on national, ethnic or religious minority communities or other vulnerable, in particular indigenous or tribal peoples?

5. Work conducted by Migrant Workers:

Will migrant workers be employed? A migrant worker refers to a person who is engaged, or has been engaged in a remunerated activity in a state of which he or she is not a national.

6. Child labour:

Are any children under 14 employed? What mechanisms are being put in place to monitor this? Do any children accompany their parent(s) onto the business premises? Is anyone between the ages of 14-18 employed? If so, what is the nature of their work – is it in any way harmful, or requires special health and safety training? In common with most countries around the world, Norway has ratified the United Nations convention on the Rights of the Child and the International Labour Organisation conventions on the abolition of child labour. It is GIEKs policy not to provide support to projects that involve harmful child labour.

7. Bonded or forced labour:

Is any of the work extracted for no payment and/or under threat of force or penalty (e.x. does the employer hold workers' identity documents)? Is work extracted as payment for debt? In common with most countries around the world, Norway has ratified the International Labour Organisation Conventions on the elimination of forced or compulsory labour. It is GIEK's policy not to provide support to projects that involve bonded or forced labour.

8. Large-scale influx of workers:

Will the business (or sub-contractors) import a non-local work-force requiring accommodation or access to facilities during a construction phase? How many will be employed and for how long? Have opportunities been offered to local workers?

9. Impact on sites of cultural, historic or scientific interest:

Does the project affect a religious or ancestral site, or natural resources ascribed by local people with cultural or sacred significance? Is the site near a recognised World Heritage site, or a recognised site of scientific interest. Is the site recognised by vulnerable groups, specifically indigenous groups?

10. Use of armed personnel:

Please indicate, as far you are aware, the extent of armed security that the contract or project will use (ex. number of armed personnel). Please provide copies of policies and procedures regulating conduct of security personnel and sub-contractors, including policies and procedures on the use of force. Projects making use of extensive security arrangements need to take account of the impact of those arrangements in a Social Impact Assessment (SIA).